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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(G.E. Docket No. 33-XZ-5745)

PATENT APPLICATION OF:

Jeffrey W. Pattee, John M. Simmons and
David M. Robbins

SERIAL NO.: Not Yet Assigned

FILED: Not Yet Assigned

FOR: RACHET LOCKING BRAKE FOR
MEDICAL DEVICES

EXPRESS MAIL NO. EL567394475US

Date: October 17, 2000

Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of Inventor(s): Jeffrey W. Pattee, John M. Simmons and David M. Robbins.

For: RACHET LOCKING BRAKE FOR MEDICAL DEVICES

1. Enclosed are:

- ☒ A Combined Declaration and Power of Attorney signed by each inventor.
- ☒ 5 sheet(s) of drawing(s) (informal).
- ☒ 10 pages of specification including a 1 page abstract, and 2 page(s) of claims (Claims 1-10).
- ☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 37 C.F.R. 1.27.
- ☐ An associate power of attorney.
- ☐ A Transmittal of Information Disclosure Statement and its enclosures.
- ☐ Priority Document
- ☒ Assignment and Form PTO-1595

2. Benefit of the filing date of each priority document or U.S. provisional patent application listed below (if any) is claimed under 35 U.S.C. Section 119 and Section 120:

<u>Docket No.</u>	<u>Country</u>	<u>Appl'n. Serial No.</u>	<u>Filing Date</u>
NONE			

3. The filing fee has been calculated as shown below, based on the assignee's status as a large entity:

	<u>Claims Presented</u>	<u>Extra Claims</u>	<u>Rate per Extra claim</u>	
Total Claims	- 10	0	X \$ 18	\$ 0.00
Indep Claims	- 2	0	X \$ 78	\$ 0.00
Basic fee				\$710.00
Multiple dependent claim presented (\$260 if any present)				<u>\$0.00</u>
Total Filing fee				<u><u>\$710.00</u></u>

4. The following arrangements have been made to pay the filing fee:

- ☒ [X] A check in the amount of \$710.00 to cover the filing fee is enclosed.
- ☐ [] The filing fee is not enclosed. This form does not authorize a charge to a deposit account.
- ☐ [] Please charge our Deposit Account No. 13-0017 in the amount of \$~.
- ☒ [X] The Commissioner is hereby authorized to charge any additional filing fees which may be required under 37 C.F.R. 1.16 for this application and for any amendment thereto, or credit any overpayment to Account No. 13-0017.

5. The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 13-0017.

- ☐ [] No charges are authorized.
- ☒ [X] Any patent application processing fees under 37 C.F.R. 1.17.

6. Please address all telephone calls to:

at telephone No. (312) 775-8000.

Year	Age	Sex	Occupation	Education	Income	Health	Family	Community	Environment	Policy	Program	Impact	Outcome	Conclusion
1990	25	Male	Teacher	High School	\$15,000	Good	2 Children	Urban	Good	Good	Good	Good	Good	Good
1991	26	Female	Nurse	College	\$20,000	Good	1 Child	Urban	Good	Good	Good	Good	Good	Good
1992	27	Male	Engineer	College	\$25,000	Good	2 Children	Urban	Good	Good	Good	Good	Good	Good
1993	28	Female	Doctor	College	\$30,000	Good	1 Child	Urban	Good	Good	Good	Good	Good	Good
1994	29	Male	Lawyer	College	\$35,000	Good	2 Children	Urban	Good	Good	Good	Good	Good	Good
1995	30	Female	Manager	College	\$40,000	Good	1 Child	Urban	Good	Good	Good	Good	Good	Good
1996	31	Male	Scientist	College	\$45,000	Good	2 Children	Urban	Good	Good	Good	Good	Good	Good
1997	32	Female	Artist	College	\$50,000	Good	1 Child	Urban	Good	Good	Good	Good	Good	Good
1998	33	Male	Writer	College	\$55,000	Good	2 Children	Urban	Good	Good	Good	Good	Good	Good
1999	34	Female	Designer	College	\$60,000	Good	1 Child	Urban	Good	Good	Good	Good	Good	Good
2000	35	Male	Developer	College	\$65,000	Good	2 Children	Urban	Good	Good	Good	Good	Good	Good
2001	36	Female	Analyst	College	\$70,000	Good	1 Child	Urban	Good	Good	Good	Good	Good	Good
2002	37	Male	Consultant	College	\$75,000	Good	2 Children	Urban	Good	Good	Good	Good	Good	Good
2003	38	Female	Researcher	College	\$80,000	Good	1 Child	Urban	Good	Good	Good	Good	Good	Good
2004	39	Male	Executive	College	\$85,000	Good	2 Children	Urban	Good	Good	Good	Good	Good	Good
2005	40	Female	Director	College	\$90,000	Good	1 Child	Urban	Good	Good	Good	Good	Good	Good
2006	41	Male	VP	College	\$95,000	Good	2 Children	Urban	Good	Good	Good	Good	Good	Good
2007	42	Female	CEO	College	\$100,000	Good	1 Child	Urban	Good	Good	Good	Good	Good	Good
2008	43	Male	Founder	College	\$105,000	Good	2 Children	Urban	Good	Good	Good	Good	Good	Good
2009	44	Female	Partner	College	\$110,000	Good	1 Child	Urban	Good	Good	Good	Good	Good	Good
2010	45	Male	Investor	College	\$115,000	Good	2 Children	Urban	Good	Good	Good	Good	Good	Good
2011	46	Female	Advisor	College	\$120,000	Good	1 Child	Urban	Good	Good	Good	Good	Good	Good
2012	47	Male	Chairman	College	\$125,000	Good	2 Children	Urban	Good	Good	Good	Good	Good	Good
2013	48	Female	President	College	\$130,000	Good	1 Child	Urban	Good	Good	Good	Good	Good	Good
2014	49	Male	CEO	College	\$135,000	Good	2 Children	Urban	Good	Good	Good	Good	Good	Good
2015	50	Female	Founder	College	\$140,000	Good	1 Child	Urban	Good	Good	Good	Good	Good	Good
2016	51	Male	Investor	College	\$145,000	Good	2 Children	Urban	Good	Good	Good	Good	Good	Good
2017	52	Female	Advisor	College	\$150,000	Good	1 Child	Urban	Good	Good	Good	Good	Good	Good
2018	53	Male	Chairman	College	\$155,000	Good	2 Children	Urban	Good	Good	Good	Good	Good	Good
2019	54	Female	President	College	\$160,000	Good	1 Child	Urban	Good	Good	Good	Good	Good	Good
2020	55	Male	CEO	College	\$165,000	Good	2 Children	Urban	Good	Good	Good	Good	Good	Good
2021	56	Female	Founder	College	\$170,000	Good	1 Child	Urban	Good	Good	Good	Good	Good	Good
2022	57	Male	Investor											

Dean Smith

Dean D. Small
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